# SECURITIES AND EXCHANGE COMMISSION

#### Washington, D.C. 20549

# **SCHEDULE 13G\***

Under the Securities Exchange Act of 1934

# **Pulmonx Corporation**

(Name of Issuer)

# Common Stock

(Title of Class of Securities)

## 745848101

(CUSIP Number)

## September 30, 2020

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- $\square \qquad \text{Rule 13d-1(b)}$
- x Rule 13d-1(c)
- $\square \qquad \text{Rule 13d-1(d)}$

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

1	Name of Reporting Persons ABG-Pulmonx Limited			
2	Check the Appropriate Box if a Member of a Group (See Instructions)			
	(a)			
	(b)			
3	SEC Us	Only		
4	4 Citizenship or Place of Organization British Virgin Islands			
Number of	:	5 Sole Voting Power 0		
Shares Beneficially Owned by	,	5 Shared Voting Power 833,333		
Each Reporting Person With		7 Sole Dispositive Power 0		
	:	Shared Dispositive Power 833,333		
	Aggregate Amount Beneficially Owned by Each Reporting Person 833,333			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
	Percent of Class Represented by Amount in Row (9) 2.5% (1)			
	Type of CO	Reporting Person (See Instructions)		

 $\overline{(1)}$  Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

1	Name of Reporting Persons Ally Bridge Group Innovation Capital Partners III, L.P.			
2	2 Check the Appropriate Box if a Member of a Group (See Instructions)			
	(a)			
	(b)			
3	SEC U	Jse Only	,	
4	4 Citizenship or Place of Organization Cayman Islands			
Number of Shares Beneficially Owned by Each Reporting Person With		5	Sole Voting Power 0	
	7	6	Shared Voting Power 833,333 (1)	
	1	7	Sole Dispositive Power 0	
		8	Shared Dispositive Power 833,333 (1)	
9	Aggregate Amount Beneficially Owned by Each Reporting Person 833,333 (1)			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11	Percent of Class Represented by Amount in Row (9) 2.5% (2)			
12	Type of Reporting Person (See Instructions) PN			

(1) Includes 833,333 shares of Common Stock held by ABG-Pulmonx Limited. Ally Bridge Group Innovation Capital Partners III, L.P. owns 100% of the ordinary voting shares of ABG-Pulmonx Limited and may be deemed to beneficially own the Common Stock held by ABG-Pulmonx Limited.
 (2) Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

1	Name of Reporting Persons ABG Innovation Capital Partners III GP, L.P.			
2	Check	the Ap	propriate Box if a Member of a Group (See Instructions)	
	(a)			
	(b)			
3	SEC U	se Onl	у	
4	Citizenship or Place of Organization Cayman Islands			
Number of		5	Sole Voting Power 0	
Shares Beneficially Owned by		6	Shared Voting Power 833,333 (1)	
Each Reporting Person With	h	7	Sole Dispositive Power 0	
		8	Shared Dispositive Power 833,333 (1)	
9	Aggregate Amount Beneficially Owned by Each Reporting Person 833,333 (1)			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11	Percent of Class Represented by Amount in Row (9) 2.5% (2)			
12	Type of Reporting Person (See Instructions) PN			
12		f Repo	rting Person (See Instructions)	

<sup>(1)</sup> Includes 833,333 shares of Common Stock held by ABG-Pulmonx Limited. ABG Innovation Capital Partners III GP, L.P. is the general partner of Ally Bridge Group Innovation Capital Partners III, L.P., which owns 100% of the ordinary voting shares of ABG-Pulmonx Limited, and may be deemed to beneficially own the Common Stock held by ABG-Pulmonx Limited.

<sup>(2)</sup> Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

1	Name of Reporting Persons ABG Innovation Capital Partners III GP Limited			
2	Check	the Ap	propriate Box if a Member of a Group (See Instructions)	
	(a)			
	(b)			
3	SEC U	se Only	у	
4	Citizenship or Place of Organization Cayman Islands			
Number of		5	Sole Voting Power 0	
Shares Beneficially Owned by	7	6	Shared Voting Power 833,333 (1)	
Each Reporting Person With	1	7	Sole Dispositive Power 0	
		8	Shared Dispositive Power 833,333 (1)	
9	Aggregate Amount Beneficially Owned by Each Reporting Person 833,333 (1)			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11	Percent of Class Represented by Amount in Row (9) 2.5% (2)			
12	Type of Reporting Person (See Instructions) CO			

<sup>(1)</sup> Includes 833,333 shares of Common Stock held by ABG-Pulmonx Limited. ABG Innovation Capital Partners III GP Limited is the general partner of ABG Innovation Capital Partners III GP, L.P., which is the general partner of Ally Bridge Group Innovation Capital Partners III, L.P., which owns 100% of the ordinary voting shares of ABG-Pulmonx Limited, and may be deemed to beneficially own the Common Stock held by ABG-Pulmonx Limited. (2) Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

		25	
1	Name ( ABG Y		orting Persons
	ADU 1	I LIII	ined
2	Check	the App	propriate Box if a Member of a Group (See Instructions)
	(a)		
	(b)		
3	SEC U	se Only	
4	Citizen	ship or	Place of Organization
	British	Virgin	Islands
		5	Sole Voting Power
Number of			0
Shares		6	Shared Voting Power
Beneficially Owned by	7	0	303,030
Each		_	
Reporting		7	Sole Dispositive Power 0
Person With	1		
		8	Shared Dispositive Power
			303,030
9	Aggreg	gate An	nount Beneficially Owned by Each Reporting Person
	303,03	0	
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)		
11	Daraan	t of Clo	as Donresonted by Amount in Day (0)
11	0.9% (		ass Represented by Amount in Row (9)
12	Type o	fRepor	ting Person (See Instructions)
12	CO	r repoi	

 $\overline{(1)}$  Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

1	Name of Reporting Persons ABG Management Ltd.			
2	Check the A	ppropriate Box if a Member of a Group (See Instructions)		
	(a) 🛛			
	(b) 🗌			
3	SEC Use On	ly		
4	4 Citizenship or Place of Organization Cayman Islands			
Number of	5	Sole Voting Power 0		
Shares Beneficially Owned by	6	Shared Voting Power 578,030 (1)		
Each Reporting Person With	7 1	Sole Dispositive Power 0		
	8	Shared Dispositive Power 578,030 (1)		
9	Aggregate Amount Beneficially Owned by Each Reporting Person 578,030 (1)			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11	Percent of Class Represented by Amount in Row (9) 1.7% (2)			
12	Type of Rep CO	orting Person (See Instructions)		

<sup>(1)</sup> Includes (i) 303,030 shares of Common Stock held by ABG YY Limited and (ii) 275,000 shares of Common Stock held by Ally Bridge MedAlpha Master Fund L.P.. ABG Management Ltd. has a contractual right to appoint a director to the board of directors of ABG YY Limited. ABG Management Ltd. may be deemed to beneficially own the Common Stock held by ABG YY Limited. Ally Bridge Group (NY) LLC and Ally Bridge MedAlpha Management L.P. acting through its general partner Ally Bridge MedAlpha Management GP, LLC manage Ally Bridge MedAlpha Master Fund L.P.'s investments. ABG Management Ltd. is the sole member of Ally Bridge Group (NY) LLC and Ally Bridge MedAlpha Management GP, LLC and may be deemed to beneficially own the Common Stock held by Ally Bridge MedAlpha Master Fund L.P..

<sup>(2)</sup> Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

1	Name of Reporting Persons ABG WTT- Pulmonx Limited			
2	Check	the App	propriate Box if a Member of a Group (See Instructions)	
	(a)			
	(b)			
3	SEC U	se Only	y	
4	Citizenship or Place of Organization Cayman Islands			
Number of		5	Sole Voting Power 0	
Shares Beneficially Owned by		6	Shared Voting Power 815,019	
Each Reporting Person With	L	7	Sole Dispositive Power 0	
		8	Shared Dispositive Power 815,019	
9	Aggregate Amount Beneficially Owned by Each Reporting Person 815,019			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11	Percent of Class Represented by Amount in Row (9) 2.4% (1)			
	Type of Reporting Person (See Instructions) CO			

 $\overline{(1)}$  Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

1		Reporting Persons
	Ally Brid	ge Group-WTT Global Life Science Capital Partners, L.P.
2	Check th	e Appropriate Box if a Member of a Group (See Instructions)
	chieven un	
	(a)	
	(b)	
3	SEC Use	Only
5	SEC USC	Oliy
4		ip or Place of Organization
	Cayman	Islands
	5	Sole Voting Power
Number of		0
Shares		
Beneficially	y 6	Shared Voting Power 815,019 (1)
Owned by Each		
Reporting	7	
Person With	h	0
	8	Shared Dispositive Power
		815,019 (1)
9	Aggrega	e Amount Beneficially Owned by Each Reporting Person
	815,019	1)
10	Check B	ox if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)
11	Percent of 2.4% (2)	f Class Represented by Amount in Row (9)
	2.4/0(2)	
12		Reporting Person (See Instructions)
	PN	

(1) Includes 815,019 shares of Common Stock held by ABG WTT- Pulmonx Limited. Ally Bridge Group-WTT Global Life Science Capital Partners, L.P. owns 100% of the equity of ABG WTT- Pulmonx Limited and may be deemed to beneficially own the Common Stock held by ABG WTT- Pulmonx Limited.

(2) Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

		Reporting Persons TT Global Life Science Capital Partners GP, L.P.		
2 -	Check th	ne Appropriate Box if a Member of a Group (See Instructions)		
	(a)			
	(b)			
3	SEC Us	C Use Only		
	Citizenship or Place of Organization			
_	Cayman			
Number of Shares Beneficially		5 Sole Voting Power 0		
Owned by Each	(	5 Shared Voting Power 815,019 (1)		

Reporting Person With	7 1	Sole Dispositive Power 0
	8	Shared Dispositive Power 815,019 (1)
9	Aggregate An 815,019 (1)	mount Beneficially Owned by Each Reporting Person
10	Check Box if	The Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)
11	Percent of Cl 2.4% (2)	ass Represented by Amount in Row (9)
12	Type of Repo PN	orting Person (See Instructions)

<sup>(1)</sup> Includes 815,019 shares of Common Stock held by ABG WTT- Pulmonx Limited. ABG-WTT Global Life Science Capital Partners GP, L.P. is the general partner of Ally Bridge Group-WTT Global Life Science Capital Partners, L.P., which owns 100% of the equity of ABG WTT- Pulmonx Limited, and may be deemed to beneficially own the Common Stock held by ABG WTT- Pulmonx Limited.

<sup>(2)</sup> Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

1	Name of Reporting Persons ABG-WTT Global Life Science Capital Partners GP Limited			
2	Check th	e Appropriate Box if a Member of a Group (See Instructions)		
	(a)			
	(b)			
3	SEC Use	Only		
4 Citizenship or Place of Organization Cayman Islands				
Number of	5	Sole Voting Power 0		
Shares Beneficially Owned by	y 6	Shared Voting Power 815,019 (1)		
Each Reporting Person Witl	7 h	Sole Dispositive Power 0		
	8	Shared Dispositive Power 815,019 (1)		
9	Aggregate Amount Beneficially Owned by Each Reporting Person 815,019 (1)			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11	Percent of Class Represented by Amount in Row (9) 2.4% (2)			
12	Type of Reporting Person (See Instructions) CO			

(2) Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

<sup>(1)</sup> Includes 815,019 shares of Common Stock held by ABG WTT- Pulmonx Limited. ABG-WTT Global Life Science Capital Partners GP Limited is the general partner of ABG-WTT Global Life Science Capital Partners GP, L.P., which is the general partner of Ally Bridge Group-WTT Global Life Science Capital Partners, L.P., which owns 100% of the equity of ABG WTT- Pulmonx Limited, and may be deemed to beneficially own the Common Stock held by ABG WTT- Pulmonx Limited.

1	Name of Reporting Persons					
	Ally B	ridge M	IedAlpha Master Fund L.P.			
2	Chaole	Obstation Annual State Description (Constructions)				
2	Check the Appropriate Box if a Member of a Group (See Instructions)					
	(a)					
	(u)	_				
	(b)					
2	OF C LI	0.1				
3	SEC U	se Only				
4	Citizer	ship or	Place of Organization			
		ın İslan				
		5	Sole Voting Power			
Number of			0			
Shares		6	Shared Voting Power			
Beneficially	T		275,000			
Owned by Each						
Reporting		7	Sole Dispositive Power			
Person With	ı		0			
		8	Shared Dispositive Power			
		0	275,000			
9	Aggregate Amount Beneficially Owned by Each Reporting Person					
	275,00	0				
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)					
10	Check Box if the Aggregate Amount in Now (2) Excludes Certain Shares (See Instructions)					
11	Percen	t of Cla	ss Represented by Amount in Row (9)			
	0.8% (	1)				
12	Tures -	fDonce	ting Person (See Instructions)			
	Type o PN	i kepoi	ung reison (See instructions)			

 $\overline{(1)}$  Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

1	Name of Reporting Persons Ally Bridge MedAlpha Management L.P.			
2	2 Check the Appropriate Box if a Member of a Group (See Instructions)			
	(a)			
	(b)			
3	SEC Us	se Only	1	
4	Citizenship or Place of Organization Cayman Islands			
Number of		5	Sole Voting Power 0	
Shares Beneficially Owned by	1	6	Shared Voting Power 275,000 (1)	
Each Reporting Person With		7	Sole Dispositive Power 0	
		8	Shared Dispositive Power 275,000 (1)	
9	Aggregate Amount Beneficially Owned by Each Reporting Person 275,000 (1)			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11	Percent of Class Represented by Amount in Row (9) 0.8% (2)			
12	Type of Reporting Person (See Instructions) PN			

<sup>(1)</sup> Includes 275,000 shares of Common Stock held by Ally Bridge MedAlpha Master Fund L.P. Ally Bridge MedAlpha Management L.P. is the investment manager of Ally Bridge MedAlpha Master Fund L.P., and may be deemed to beneficially own the common stock held by Ally Bridge MedAlpha Master Fund L.P.

<sup>(2)</sup> Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

1	Name of Reporting Persons Ally Bridge MedAlpha Management GP, LLC			
2	2 Check the Appropriate Box if a Member of a Group (See Instructions)			
	(a)			
	(b)			
3	SEC U	se Only	/	
4	Citizenship or Place of Organization Cayman Islands			
Number of		5	Sole Voting Power 0	
Shares Beneficially Owned by	y	6	Shared Voting Power 275,000 (1)	
Each Reporting Person With	h	7	Sole Dispositive Power 0	
		8	Shared Dispositive Power 275,000 (1)	
9	Aggregate Amount Beneficially Owned by Each Reporting Person 275,000 (1)			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11	Percent of Class Represented by Amount in Row (9) 0.8% (2)			
12	Type of Reporting Person (See Instructions) OO			

Includes 275,000 shares of Common Stock held by Ally Bridge MedAlpha Master Fund L.P. Ally Bridge MedAlpha Management GP, LLC is the general partner of Ally Bridge MedAlpha Management L.P., which is the investment manager of Ally Bridge MedAlpha Master Fund L.P., and may be deemed to beneficially own the common stock held by Ally Bridge MedAlpha Master Fund L.P.
 Based on 33 925 419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prospective filed by the Issuer on October 1, 2020 (and prior to any optimized on the prior to any optimized on the prior to any optimized onto the prior t

<sup>(2)</sup> Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

1	Name of Reporting Persons Ally Bridge Group (NY) LLC			
2	2 Check the Appropriate Box if a Member of a Group (See Instructions)			
	(a)			
	(b)			
3	SEC Us	se Only		
4	Citizenship or Place of Organization State of Delaware			
		5 Sole Voting Power 0		
Number of Shares Beneficially Owned by		6 Shared Voting Power 275,000 (1)		
Each Reporting Person With		7 Sole Dispositive Power 0		
		8 Shared Dispositive Power 275,000 (1)		
9	Aggregate Amount Beneficially Owned by Each Reporting Person 275,000 (1)			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11	Percent of Class Represented by Amount in Row (9) 0.8% (2)			
12	Type of Reporting Person (See Instructions) OO			

Includes 275,000 shares of Common Stock held by Ally Bridge MedAlpha Master Fund L.P. Ally Bridge Group (NY) LLC is the manager of Ally Bridge MedAlpha Master Fund L.P., and may be deemed to beneficially own the common stock held by Ally Bridge MedAlpha Master Fund L.P.
 Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

Name of Reporting Persons Fan Yu				
Check the Appropriate Box if a Member of a Group (See Instructions)				
(a)	(a) 🗆			
(b)				
SEC Us	se Only			
Citizenship or Place of Organization Hong Kong				
	5	Sole Voting Power 0		
y	6	Shared Voting Power 1,411,363 (1)		
h	7	Sole Dispositive Power 0		
	8	Shared Dispositive Power 1,411,363 (1)		
Aggregate Amount Beneficially Owned by Each Reporting Person 1,411,363 (1)				
Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)				
Percent of Class Represented by Amount in Row (9) 4.2% (2)				
Type of Reporting Person (See Instructions) IN				
	Fan Yu Check (a) (b) SEC U Citizen Hong F Hong F Aggreg 1,411,3 Check Percent 4.2% (2 Type o	Fan Yu Check the App (a) (b) SEC Use Only Citizenship or Hong Kong 5 6 7 h 8 Aggregate Am 1,411,363 (1) Check Box if th Percent of Class 4.2% (2) Type of Report		

(1) Includes (i) 833,333 shares of Common Stock held by ABG-Pulmonx Limited, (ii) 303,030 shares of Common Stock held by ABG YY Limited, and (iii) 275,000 shares of Common Stock held by Ally Bridge MedAlpha Master Fund L.P. Fan Yu is in a position to, directly or indirectly, exercise or share the ultimate voting and disposition control of the Common Stock owned by each of these three record stockholders.
(2) Based on 33,925,419 shares of Common Stock outstanding, as reported in the Prospectus filed by the Issuer on October 1, 2020 (and prior to any option exercise by the underwriters).

#### Item 1.

- (a) Name of Issuer: Pulmonx Corporation ("<u>Issuer</u>")
- (b) Address of Issuer's Principal Executive Offices:
   700 Chesapeake Drive, Redwood City, California 94603

## Item 2.

 (a) Name of Person Filing: This Schedule 13G is jointly filed, pursuant to a Joint Filing Agreement attached hereto as <u>Exhibit 99.1</u>, by the following persons (collectively, the "<u>Reporting Persons</u>"):

ABG-Pulmonx Limited Ally Bridge Group Innovation Capital Partners III, L.P. ABG Innovation Capital Partners III GP, L.P. ABG Innovation Capital Partners III GP Limited ABG YY Limited ABG Management Ltd. ABG WTT- Pulmonx Limited Ally Bridge Group-WTT Global Life Science Capital Partners, L.P. ABG-WTT Global Life Science Capital Partners GP, L.P. ABG-WTT Global Life Science Capital Partners GP Limited Ally Bridge MedAlpha Master Fund L.P. Ally Bridge MedAlpha Management L.P. Ally Bridge MedAlpha Management GP, LLC Ally Bridge Group (NY) LLC Fan Yu

The foregoing persons are making this single, joint filing because they may be deemed to constitute a "group" within the meaning of Section 13(d)(3) of the Act, although neither the fact of this filing nor anything contained herein shall be deemed to be an admission by any of the filing persons that such a group exists.

(b) Address of Principal Business Office or, if none, Residence: The principal business address for ABG-Pulmonx Limited, ABG Management Ltd., ABG WTT- Pulmonx Limited, Ally Bridge Group-WTT Global Life Science Capital Partners, L.P., ABG-WTT Global Life Science Capital Partners GP, L.P., ABG-WTT Global Life Science Capital Partners GP Limited, Ally Bridge Group Innovation Capital Partners III, L.P., ABG Innovation Capital Partners III GP, L.P., ABG Innovation Capital Partners III GP Limited and Fan Yu is Unit 3002-3004, 30/F, Gloucester Tower, The Landmark, 15 Queen's Road Central, Hong Kong. The principal business address for ABG YY Limited is 27/F, No. 238 Des Voeux Road Central, Hong Kong. The principal business address for ABG YY Limited is 27/F, No. 218 Des Voeux Road Central, Hong Kong. The principal business address for ABG YY Limited is 21/F, No. 218 Des Voeux Road Central, Hong Kong. The principal business address for ABG YY Limited is 21/F, No. 218 Des Voeux Road Central, Hong Kong. The principal business address for ABG YY Limited is 21/F, No. 218 Des Voeux Road Central, Hong Kong. The principal business address for ABG YY Limited is 21/F, No. 218 Des Voeux Road Central, Hong Kong. The principal business address for ABG YY Limited is 21/F, No. 218 Des Voeux Road Central, Hong Kong. The principal business address for ABG PYY Limited is 21/F, No. 218 Des Voeux Road Central, Hong Kong. The principal business address for ABI Pridge MedAlpha Management L.P., Ally Bridge MedAlpha Management GP, LLC and Ally Bridge Group (NY) LLC is 430 Park Avenue, Fl 12, New York, NY 10022.

## (c) Citizenship:

ABG YY Limited and ABG-Pulmonx Limited are entities organized under the laws of the British Virgin Islands.

ABG Innovation Capital Partners III GP Limited, ABG Innovation Capital Partners III GP, L.P. Ally Bridge Group Innovation Capital Partners III, L.P., ABG WTT- Pulmonx Limited, Ally Bridge Group-WTT Global Life Science Capital Partners, L.P., ABG-WTT Global Life Science Capital Partners GP, L.P., ABG-WTT Global Life Science Capital Partners GP Limited, Ally Bridge MedAlpha Master Fund L.P., Ally Bridge MedAlpha Management L.P., Ally Bridge MedAlpha Management GP, LLC and ABG Management Ltd. are entities organized under the laws of the Cayman Islands.

Ally Bridge Group (NY) LLC is an entity organized under the laws of State of Delaware, the United States.

Fan Yu is a citizen of Hong Kong.

- (d) Title of Class of Securities: Common Stock, par value \$0.001.
- (e) CUSIP Number: 745848101

Item 3. If this statement is filed pursuant to §§240.13d-1(b), or §§240.13d-2(b) or (c), check whether the person filing is a:

- (a)  $\square$  Broker or dealer registered under section 15 of the Act.
- (b)  $\square$  Bank as defined in section 3(a)(6) of the Act.
- (c)  $\Box$  Insurance company as defined in section 3(a)(19) of the Act.
- (d) D Investment company registered under section 8 of the Investment Company Act of 1940.
- (e)  $\Box$  An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E).
- (f)  $\Box$  An employee benefit plan or endowment fund in accordance with 240.13d-1(b)(1)(ii)(F).
- (g)  $\square$  A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G).
- (h)  $\Box$  A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act.
- (i) A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act.
- (j)  $\square$  A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J).
- (k)  $\square$  Group, in accordance with § 240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution:\_\_\_\_\_

#### Item 4. Ownership.

#### (a) Amount beneficially owned:

See Item 9 of the cover pages to this Schedule 13G for the aggregate number of Shares that are beneficially owned by each Reporting Person as of the date of filing.

(b) Percent of class:

See Item 11 of the cover pages to this Schedule 13G for the percentage of Shares that are beneficially owned by each Reporting Person as of the date of filing.

- (c) Number of shares as to which the person has:
  - (i) Sole power to vote or to direct the vote
  - (ii) Shared power to vote or to direct the vote
  - (iii) Sole power to dispose or to direct the disposition of
  - (iv) Shared power to dispose or to direct the disposition of

See Items 5 through 8 of the cover pages to this Schedule 13G for the number of Shares that are beneficially owned by each Reporting Person as of the date of filing as to which there is sole or shared power to vote or direct the vote, and sole or shared power to dispose or direct the disposition.

#### Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following.

#### Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable.

# Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.

Not applicable.

#### Item 8. Identification and Classification of Members of the Group.

Not applicable.

#### Item 9. Notice of Dissolution of Group.

Not applicable.

#### Item 10. Certifications.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

# SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: October 13, 2020

#### **ABG-Pulmonx Limited**

By: /s/ Pang, Andrew Chee On

Name:Pang, Andrew Chee OnTitle:Director

## Ally Bridge Group Innovation Capital Partners III, L.P.

By: ABG Innovation Capital Partners III GP, L.P., its general partner By: ABG Innovation Capital Partners III GP Limited, its general partner

By: /s/ Fan Yu

Name: Fan Yu Title: Director

## ABG Innovation Capital Partners III GP, L.P.

By: ABG Innovation Capital Partners III GP Limited, its general partner

By: /s/ Fan Yu

Name: Fan Yu Title: Director

## ABG Innovation Capital Partners III GP Limited

By: /s/ Fan Yu

Name:	Fan Yu
Title:	Director

#### **ABG YY Limited**

By:	/s/ Pang, Andrew Chee On			
	Name:	Pang, Andrew Chee On		
	Title:	Director		

#### ABG Management Ltd.

By:	/s/ Fan Yu	
	Name:	Fan Yu
	Title:	Director

#### **ABG WTT- Pulmonx Limited**

By:	/s/ Pang, Andrew Chee On		
	Name:	Pang, Andrew Chee On	
	Title:	Director	

## Ally Bridge Group-WTT Global Life Science Capital Partners, L.P.

By: ABG-WTT Global Life Science Capital Partners GP, L.P., its general partner

By: ABG-WTT Global Life Science Capital Partners GP Limited, its general partner

By: /s/ Fan Yu

Name:	Fan Yu
Title:	Director

## ABG-WTT Global Life Science Capital Partners GP, L.P.

By: ABG-WTT Global Life Science Capital Partners GP Limited, its general partner

By: /s/ Fan Yu

Name:	Fan Yu
Title:	Director

#### ABG-WTT Global Life Science Capital Partners GP Limited

By: /s/ Fan Yu

Name:Fan YuTitle:Director

## Ally Bridge MedAlpha Master Fund L.P.

By: Ally Bridge MedAlpha General Partner L.P., its general partner By: Ally Bridge MedAlpha GP, LLC, its general partner

## By: /s/ Fan Yu

Name:	Fan Yu
Title:	Manager

# Ally Bridge MedAlpha Management L.P.

By: Ally Bridge MedAlpha Management GP, LLC, its general partner By: ABG Management Ltd., its managing member

By: /s/ Fan Yu

Name: Fan Yu Title: Director

# Ally Bridge MedAlpha Management GP, LLC

By: ABG Management Ltd., its managing member

By: /s/ Fan Yu

Name: Fan Yu Title: Director

# Ally Bridge Group (NY) LLC

By: ABG Management Ltd., its managing member

By: /s/ Fan Yu

Name: Fan Yu Title: Director

# Fan Yu

By: /s/ Fan Yu

Name: Fan Yu

# EXHIBIT INDEX

Exhibit No.

99.1

# Description

Joint Filing Agreement

#### Exhibit 99.1

#### JOINT FILING AGREEMENT

Pursuant to and in accordance with the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and the rules and regulations thereunder, each party hereto hereby agrees to the joint filing, on behalf of each of them, of any filing required by such party under Section 13 or Section 16 of the Exchange Act or any rule or regulation thereunder (including any amendment, restatement, supplement, and/or exhibit thereto) with the Securities and Exchange Commission (and, if such security is registered on a national securities exchange, also with the exchange), and further agrees to the filing, furnishing, and/or incorporation by reference of this agreement as an exhibit thereto. This agreement may be executed in any number of counterparts, all of which taken together shall constitute one and the same instrument.

IN WITNESS WHEREOF, each party hereto, being duly authorized, has caused this agreement to be executed and effective as of October 13, 2020.

#### **ABG-Pulmonx Limited**

By: /s/ Pang, Andrew Chee On Name: Pang, Andrew Chee On Title: Director

#### Ally Bridge Group Innovation Capital Partners III, L.P.

By: ABG Innovation Capital Partners III GP, L.P., its general partner By: ABG Innovation Capital Partners III GP Limited, its general partner

By: /s/ Fan Yu

Name: Fan Yu Title: Director

# ABG Innovation Capital Partners III GP, L.P.

By: ABG Innovation Capital Partners III GP Limited, its general partner

By: /s/ Fan Yu

Name: Fan Yu Title: Director

#### **ABG Innovation Capital Partners III GP Limited**

By: /s/ Fan Yu

Name: Fan Yu Title: Director

#### **ABG YY Limited**

By:	/s/ Pang, Andrew Chee On			
	Name:	Pang, Andrew Chee On		
	Title:	Director		

#### ABG Management Ltd.

By:	/s/	Fan	Yu
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Name:	Fan Yu
Title:	Director

#### **ABG WTT- Pulmonx Limited**

By: /s/ Pang, Andrew Chee	Оn
---------------------------	----

Name:	Pang, Andrew Chee On
Title:	Director

#### Ally Bridge Group-WTT Global Life Science Capital Partners, L.P.

By: ABG-WTT Global Life Science Capital Partners GP, L.P., its general partner

By: ABG-WTT Global Life Science Capital Partners GP Limited, its general partner

By: /s/ Fan Yu

Name:	Fan Yu
Title:	Director

#### ABG-WTT Global Life Science Capital Partners GP, L.P.

By: ABG-WTT Global Life Science Capital Partners GP Limited, its general partner

By: /s/ Fan Yu

Name:	Fan Yu
Title:	Director

#### ABG-WTT Global Life Science Capital Partners GP Limited

By: /s/ Fan Yu

Name:Fan YuTitle:Director

#### Ally Bridge MedAlpha Master Fund L.P.

By: Ally Bridge MedAlpha General Partner L.P., its general partner By: Ally Bridge MedAlpha GP, LLC, its general partner

By:	/s/ Fai	n Yu

Name: Fan Yu Title: Manager

## Ally Bridge MedAlpha Management L.P.

By: Ally Bridge MedAlpha Management GP, LLC, its general partner By: ABG Management Ltd., its managing member

By: /s/ Fan Yu

Name: Fan Yu Title: Director

# Ally Bridge MedAlpha Management GP, LLC

By: ABG Management Ltd., its managing member

By: /s/ Fan Yu

Name:	Fan Yu
Title:	Director

# Ally Bridge Group (NY) LLC

By: ABG Management Ltd., its managing member

By:	/s/ Fan Yu		
	Name:	Fan Yu	
	Title:	Director	

## Fan Yu

By: /s/ Fan Yu

Name: Fan Yu