FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

| | | - |
|--|------------------------|-----------|
| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | OMB Number: | 3235-0287 |
| | Estimated average burg | den |
| | hours per response: | 0.5 |

I

Person

| to Section 16. For obligations may of Instruction 1(b). | | Filed | pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | 1 | 11 | Estimated aver hours per resp | 0 | 0.5 |
|---|--|---------------|--|----------------------------|---------------------------------------|----------------------------------|---|-----|
| 1. Name and Addres <u>Rose Geoffre</u> | ss of Reporting Pers <u>y Beran</u> | on* | 2. Issuer Name and Ticker or Trading Symbol Pulmonx Corp [LUNG] | (Check all a Di X Of | applicable irector fficer (give | e) | on(s) to Issuer 10% Owner Other (spec | |
| (Last) C/O PULMONX 700 CHESAPEA | (First) X CORPORATIO AKE DRIVE | (Middle) N | 3. Date of Earliest Transaction (Month/Day/Year) 05/24/2021 | - A below) Chief Co | | ommercial | below) Officer | |
| (Street) REDWOOD CITY | CA | 94063 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | Line) X Fo | orm filed b | y One Report | (Check Applic ting Person One Reporting | |

| CITY | CA |
|--------|---------|
| (City) | (State) |

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code (| 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) de (Instr. | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|---------------------------------|--|---|------------------|--|--------|---|---|---|---|----------|
| | | | Code | v | Amount | ount (A) or Price | | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | 05/24/2021 | | S ⁽¹⁾ | | 4,363 | D | \$40.81(2) | 163,719 ⁽³⁾ | D | |
| Common Stock | 05/24/2021 | | S ⁽¹⁾ | | 24 | D | \$41.49 | 163,695 | D | |
| Common Stock | 05/24/2021 | | S ⁽¹⁾ | | 10 | D | \$41.52 | 163,685 | D | |
| Common Stock | 05/24/2021 | | S ⁽¹⁾ | | 400 | D | \$41.55 | 163,285 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. nuts calls warrants ontions convertible securities)

| | | | (e.g., pi | 115, 00 | ans, v | vaiic | ants, | options, t | June un | ie se | cunties | 9 | | | |
|---|---|--|---|------------------------------|--------|--|---------------------------|--|--------------------|-------|---|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv Secu Acqu (A) o Dispo of (D | r osed) r. 3, 4 | 6. Date Exerc Expiration Da (Month/Day/Y | ate | Deriv | int of rities rlying ative rity (Instr. | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on December 14, 2020.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$40.42 to \$41.40, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

3. Includes 1,981 shares acquired by the Reporting Person under the Issuer's 2020 Employee Stock Purchase Plan on May 24, 2021.

Remarks:

/s/ Lauren Cristina, Attorneyin-Fact for Geoffrey Beran <u>Rose</u>

05/25/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.